U.S. DEPARTMENT OF THE TREASURY

AN INTRODUCTION TO THE Coronavirus Capital Projects Fund

for Tribal Governments



October 2021

About This Webinar

The U.S. Department of the Treasury is providing this webinar and other resources for informational purposes.

The descriptions provided in this document are non-exhaustive, do not describe all terms and conditions associated with the use of Coronavirus Capital Projects Fund (Capital Projects Fund), and do not describe all of the eligible and ineligible uses that may apply to this funding.

Any Capital Projects Fund funding received will be subject to the terms and conditions of the agreement entered into by Treasury and the respective recipients, as is outlined by the guidance.

Agenda

- **SECTION 1:** Welcoming Remarks
- **SECTION 2: Overview**
- **SECTION 3:** Use of Funds
- **SECTION 4:** How to Apply and Access Funds
- **SECTION 5:** Reporting Requirements
- SECTION 6: Q&A

SECTION 1: Welcoming Remarks

SECTION 2: Overview

Capital Projects Fund Overview

The Capital Projects Fund provides Tribal governments with access to funding to invest in capital projects to aid their response to the COVID-19 public health emergency. Making funding available for broadband infrastructure is a priority of the fund.

On Monday September 20th, Treasury launched the Capital Projects Fund, and Treasury began accepting applications from Tribal Governments on Friday, October 1st. Approximately \$167,000 has been allocated to each Tribal government.

The Capital Projects Fund aims to:

- Directly support recovery from the COVID-19 public health emergency by strengthening and improving infrastructure necessary for participation in work, education, and health monitoring that will last beyond the pandemic.
- Enable investments in capital assets designed to address inequities in access to critical services.
- Contribute to the Administration's goal to provide every American with the modern infrastructure necessary to access critical services, including a high-quality and affordable broadband internet connection.

Eligibility Criteria

Statutory Language: "\$10,000,000,000...for making payments to States, territories, and Tribal governments to carry out critical capital projects directly enabling work, education, and health monitoring, including remote options, in response to the public health emergency with respect to the Coronavirus Disease (COVID-19)"

The Capital Projects Fund authorizes funding for projects that meet the following criteria.

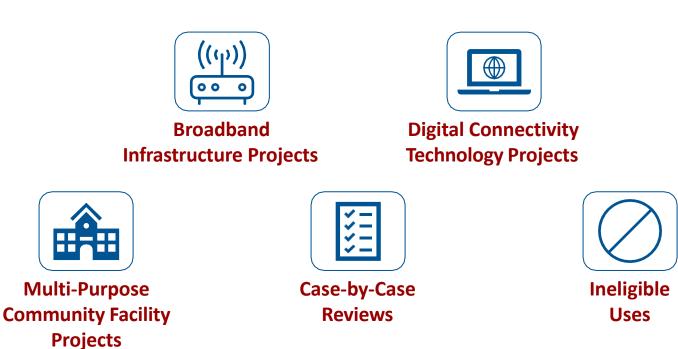
A Capital Project must:

- 1. Invest in capital assets designed to directly enable work, education, and health monitoring.
- 2. Be designed to address a critical need that resulted from or was made apparent or exacerbated by the COVID-19 public health emergency.
- 3. Be designed to address a critical need of the community to be served by it.

SECTION 3: Use of Funds

Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.





Digital Connectivity Technology Projects



Multi-Purpose Community Facility Projects



Case-by-Case Reviews





Presumptively Eligible Broadband Infrastructure Projects



Recipients may use these funds to make critical investments in broadband infrastructure (in addition to funds available under the State & Local Fiscal Recovery Fund for necessary broadband infrastructure).

Eligibility Criteria:



 Encouraged to focus on serving locations without access to reliable wireline speeds of 100 Mbps download / 20 Mbps upload, but provide flexibility to recipients to invest in other areas of critical need

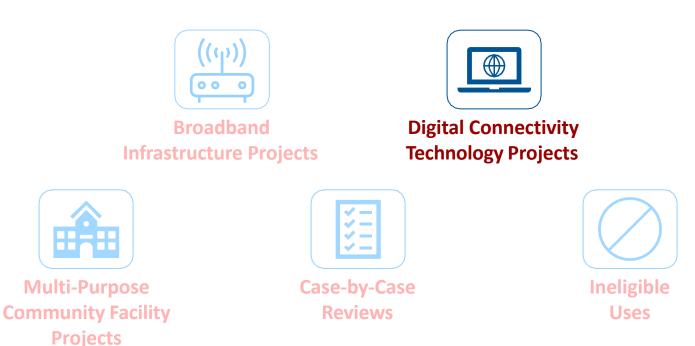
 Designed to deliver service that reliably meets or exceeds 100 Mbps download and 100Mbps upload speeds, unless impracticable



Recipients must invest in broadband infrastructure that addresses a critical need for the community

Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.







Presumptively Eligible Digital Connectivity Technology Projects

This funding may be used to purchase and/or install devices and equipment to facilitate internet access where affordability has been identified as a barrier to broadband adoption and use.

Presumptively Eligible Technologies



Desktop Computers, Laptop Computers, and Tablets



- These devices can be purchased for distribution to members of the public through short- or long-term loan programs or made available for use in public facilities
- Equipment installed as a part of public Wi-Fi infrastructure, such as access point, repeaters, routers, etc.

Not Presumptively Eligible Technologies



• These devices do not qualify for purchase using CPF funds as they do not permit users to fully participate in work, education, and health monitoring activities



Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



Broadband Infrastructure Projects



Digital Connectivity Technology Projects



Multi-Purpose Community Facility Projects



Case-by-Case Reviews





Presumptively Eligible Multi-Purpose Community Facility Projects



This funding may be used to construct or improve buildings that are designed to jointly and directly enable work, education, and health monitoring.

- Eligible projects must directly and jointly enable all three activities, with additional functions or purposes permitted
- Applicants should explain why communities identified to be served by Multi-Purpose Community Facility Projects have a critical need for such projects

Examples of Eligible Multi-Purpose Community Facility Projects

Construction or improvement of **full-service community schools** providing academic programs, workforce training or career counseling, and health monitoring

Construction or improvement of **libraries** that provide public access to the internet for work, education, and health monitoring, and may provide other resources like digital literacy training Construction or improvement of **community health centers** that provide health monitoring and a broader range of services including job counseling and health education classes



Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



Broadband Infrastructure Projects



Digital Connectivity Technology Projects



Multi-Purpose Community Facility Projects



Case-by-Case Reviews



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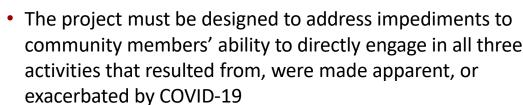


Case-by-Case Reviews

Recipients may propose other uses of funds. To be eligible in Treasury's review, the **Recipient must demonstrate that the proposed use of funds:**

Invests in a **Capital Asset that Directly Enables Work**, **Education, and Health** Monitoring

- **Addresses Impact** 2 of COVID-19 **Addresses** 3 a Critical Need
- The project must be designed to address impediments to community members' ability to directly engage in all three activities that resulted from, were made apparent, or exacerbated by COVID-19
- The project must serve communities that lack access to the resources or services that the project is designed to provide
- Lack of access can be caused by an absence, insufficient levels, or unaffordability of a service or resource



Funds must be used for the construction, purchase,

costs of such assets are capitalized or depreciated

installation of, and/or improvements to capital assets where

• Upon completion, the project must be designed to be used by

community members to directly engage in all three activities



Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.



Broadband Infrastructure Projects



Digital Connectivity Technology Projects



Multi-Purpose Community Facility Projects



Case-by-Case Reviews





Presumptively Ineligible Uses



The following projects are ineligible under the Capital Projects Fund program.



 Highways, bridges, transit systems, and ports are presumptively ineligible capital projects

The following projects are not presumed to be eligible under the Capital Projects Fund program; although, there may be opportunities for such projects to receive funding if they meet the eligibility criteria. Such projects will be reviewed on a case-by-case basis.



• Construction or improvement of hospitals and schools must demonstrate that they directly and jointly enable work, education, and health monitoring



SECTION 4: How to Apply and Access Funds

Completing the Application

Tribal governments must apply through the Capital Projects Fund application portal.

- For Tribal governments, the application process consists of several parts:
 - Register for ID.me. Each individual person who will be accessing or editing a CPF application must register. Registration is on a per-user (not per-application) basis.
 - Apply for the CPF program by completing an application using the online portal. The application establishes applicant eligibility, and award amount, and collects the intended use of funds. We estimated it will take about two hours to complete.
 - Indicate intended use of CPF funds by project type.
 - Certify the application. This must be done by a Tribal leader (or similar), or by an authorized representative who is duly designated in writing by the Tribal leader (or similar).
 - **Respond** to any questions from Treasury regarding the application.
 - Sign a grant agreement with Treasury, binding the applicant to the terms of the CPF grant.
- Tribal governments may apply jointly, including via a Tribal consortium.

All applications must be completed no later than June 1, 2022.



Accessing the Capital Projects Fund Application Portal

宻

An official website of the United St	ates Government	ID.me + 🛞 TREASURY	
U.S. DEPARTMENT OF THE TREASURY		Sign in to ID.me	
	/ ISSUES DATA SERVICES NEWS We can do this. Find COVID-19 vaccines near you. Visit Vaccin	New to ID.me? <u>Create an ID.me account</u>	
	ECONOMIC RELIEF > ASSISTANCE FOR STATE, LOCAL, AND TRIBAL GOVERNMENTS > CAPITAL PRO	Email	
POLICY ISSUES	Capital Projects Fund	Enter your email	
COVID19 Economic Relief		Password	
Assistance for American The Corona	The Coronavirus Capital Projects Fund (Capital Projects address many challenges laid bare by the pandemic, es	Enter your password	
Assistance for Small Businesses	rural America, Tribal communities, and low- and moder communities, helping to ensure that all communities he	Sign in to ID.me	
Assistance for State, Local, and Tribal Governments	the high-quality modern infrastructure, including broad	Forgot password Or sign in with	
State and Local Fiscal Recovery Fund	to access critical services.		
Capital Projects Fund		for a line of the second	
Capital Projects Fund for Tribal Governments		Fracebook G Google in LinkedIn	
Apply for CPF Funding	The American Rescue Plan provides \$10 billion for payments to eligible governments i capital projects that directly enable work, education, and health monitoring, includin	View more options >	
Homeowner Assistance Fund	response to the public health emergency.		
Emergency Rental Assistance Program	Eligible states, territories, freely associated states, and Tribal governments may		
State Small Business Credit Initiative	applications for their Capital Projects Fund allocation using the Treasury Submission Portal.		
Coronavirus Relief Fund			

CPF Application Portal Homepage

🙍 State, Local, and Tribal Support

Introduction Welcome to the three Treasury Programs supporting state, territory, Tribal, and local governments as part of the 2021 American Rescue Plan. Submissions & Depending on if you are a state, territory, local, or Tribal government, you will be eligible for different programs. Please select "Start a Submission" at Top-Right to begin to identify which programs you may be eligible for. **Compliance Forms** • STATE AND LOCAL FISCAL RECOVERY FUNDS - \$350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the foundation for a strong and equitable economic recovery. · HOMEOWNER ASSISTANCE FUND - Nearly \$10 billion available for state, territory, and Tribal governments to provide relief for our country's most vulnerable homeowners. • EMERGENCY RENTAL ASSISTANCE - \$21.6 billion available for state, territory, and local governments to assist households that are unable to pay rent and utilities. • CAPITAL PROJECTS FUND - \$10 billion available for state, territory, freely associated state, and Tribal governments for capital projects designed to jointly and directly enable work, education, and health monitoring, which includes the provision and improvement of broadband infrastructure where it is lacking, Submission Process: You now have a login and 24/7 access to this portal. You have two options while working on the submission/s - save your progress or submit the submission. If you save, you can return and edit information as needed. To resume working on a draft submission, click on "Submissions & Compliance Forms" using the navigation to the left of the page. This will bring you to your list of submissions, click "Provide Information" to continue working. Once you submit and certify the agreement, you cannot edit your information for that particular program without reaching out to Treasury to re-open the case and edit. Contact Us/Help: For assistance on your submission and other questions, contact covidreliefitsupport@treasury.gov Ready to get started? Click "Start a Submission" at Top-Right.

Go To Your Reports

Start a Compliance Report

Starting a CPF Application Submission

I	DLOCAL FISCAL RECOVERY FUNDS - \$350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the fou
r	Submissions to Start
J F S	Are you applying for funding from the Coronavirus Capital Projects Fund (CPF) program? (Note: If you are applying for multiple programs including the CPF program, first select Yes and complete the additional questions. Once the CPF application is created, return to the SLT page and select the "Start Submission" button again and then select No.)
	n Choose an Option
.0	
6	elp: For assistance on vour submission and other questions. contact covidreliefitsupport@treasurv.gov
5	Submissions to Start
	Applicant Type
4	None
2	
١	Once you click the Create button, a draft CPF application form will appear under the tab "Submissions & Compliance Forms" on the SLT homepage. Navigate to that
2	tab and then click the link " <u>Provide Information</u> " to complete the application.
k C	Create

Confirmation of Application Creation

Sandbox: CPF-0000040 CPF Application Created External Inbox ×			0	Ø
CapitalProjectsFund@treasury.gov <capitalprojectsfund@treasury.gov> 8:32 AM (0 minute to me, caresitforms@treasury.gov +</capitalprojectsfund@treasury.gov>	ago)	☆	4	:
Dear Capital Projects Fund Applicant,				
This email confirms that you have successfully created an application for the Capital Projects Fund (CPF) grant program. Please make sure to complete and submit the application before 12/27/2021 at 11:59PM ET. To be eligible for consideration, applications must be completed and signed/certified by the deadline. Please review the submission requirements in the final sector application and make sure to submit the necessary information and documentation timely.				
This email has been sent to the individual who created the application on the CPF portal. Future automated emails will continue to be sent to this address as well as any other designated as addresses that are added in the application. You may update who receives CPF communications at any time but contacting us at CapitalProjectsFund@Treasury.gov.	point of	contac	t	
Thank You,				
CPF Application Review Team				
U.S. Department of the Treasury				
Guidance and Program Information: https://www.treasury.gov/CPF				
Application Support Email: CapitalProjectsFund@Treasury.gov				
Application Support Phone: 844-529-9527				

Applicant Information

ECTION 1 - User Instructions	SECTION 2 - Applicant Information
ECTION 2 - Applicant formation ECTION 3 - Banking	Designation of Authorized Official Applicant Information Authorized Individual Information Primary Contact Information
CTION 4 - Award	SECTION 2.1 - Designation of Authorized Official
ormation	Please provide the following information about the applying entity and related personnel.
CTION 5 - rtification & bmission	<u>Authorized Tribal official</u> : This is the individual within the Tribal government with the authority to represent the Tribal government when entering into an agreement with the United States government for Treasury's Capital Projects Fund.
	<u>Authorized representative</u> : This is the individual who will certify the application and sign the Grant Agreement on behalf of the Tribal government. An authorized representative must either be authorized to act and enter into binding agreements on behalf of the Tribal government (Option 1) or be granted the authority to do so by the authorized Tribal of Tribal official (Option 2).
	Please select one of the following options about who will serve as the authorized representative to certify this application and sign the Grant Agreement:
	Option 1: Check this box if the authorized Tribal official of your Tribal government will act as the authorized representative to certify this application and sign any related documentation and attestations, including the Grant Agreement (this option is most likely when a Tribal government is not applying jointly with another Tribal government, such as through a Tribal consortium).
	Option 2: Check this box if an individual <u>other than</u> the authorized Tribal official of your Tribal government will certify this application and sign any related documentation and attestations, including the Grant Agreement (this option is most likely when a Tribal government <u>is</u> applying jointly with another Tribal government, such as through a Tribal consortium, to apply for and manage CPF funding on their behalf).
	Section 2.3 of this application will ask for the name of and other information about the authorized representative.
	*Are you a Tribal consortium, Tribal organization, or similar entity applying on behalf of one or more Tribal governments? *Are you a Tribal government applying on behalf of yourself AND one or more other Tribal governments?
	-None • Vone •
	If you selected Option 2 and/or answered "Yes" to either of the two the questions above, you will need to submit a letter, signed by the authorized Tribal official of your Tribal government, designating an authorized representative who will be performing these tasks on behalf of the recipient. Please upload this letter under Section 5 of this application. All designation letters must be received by the application due date of June 1, 2022. If the designation letter is not received by June 1, 2022, the Applicant's grant award may be forfeited.
	Save Information Next



Applicant Information Details

- **Primary Contact Information** Contact information for the person who is filling out the online portal. You can also add additional point of contact (POC) email addresses.
- **Applicant Information** Identifying information for the Tribal government that is applying for funding.
- Authorized Representative Information Contact information for the individual who will be signing the certification to submit this application.
- Additional Eligible Entities Identifying information for any additional Tribal governments that you are applying on behalf of. This is the same as the Applicant Information section, except that it is used only if you are applying on behalf of more than one eligible Tribal entity.
- **Banking Information** Information needed for us to send you payments.

Award Information

SECTION 1 - User Instructions	SECTION 4 - Award Information		
SECTION 2 - Applicant Information			
ECTION 3 - Banking nformation		ou by the statutory formula, which is displayed below. Your claim is the awardable am at any time during the period of performance, but you may not increase your award ab	
ECTION 4 - Award	request on this form. Generally, your CPF claim should be equ receive.	ual to the CPF allocation; unclaimed funds may be forfeited. Please indicate the award	lable amount you wish to
	CPF Allocation	- Do you wish to receive the full CPF allocation	
ECTION 5 - Certification & Submission		Yes	
ubmission			
	Eligible Uses		
	CPF Funds may only be used for eligible uses set forth in Trea following uses for which CPF Funds will be used. For each pre	nsury's Guidance document (visit: https://www.treasury.gov/CPF). Please check the bo esumptively eligible use, we ask that you provide a short response explaining how the i an presumptively eligible uses will be subject to a case-by-case review, which may add	funds will be used in
	CPF Funds may only be used for eligible uses set forth in Trea following uses for which CPF Funds will be used. For each pre alignment with the Guidance. Applications involving other th	sumptively eligible use, we ask that you provide a short response explaining how the	funds will be used in
	CPF Funds may only be used for eligible uses set forth in Trea following uses for which CPF Funds will be used. For each pre alignment with the Guidance. Applications involving other th review process and may delay payment.	esumptively eligible use, we ask that you provide a short response explaining how the same presumptively eligible uses will be subject to a case-by-case review, which may add	funds will be used in
	CPF Funds may only be used for eligible uses set forth in Trea following uses for which CPF Funds will be used. For each pre alignment with the Guidance. Applications involving other the review process and may delay payment. Determining Eligible Use	esumptively eligible use, we ask that you provide a short response explaining how the same presumptively eligible uses will be subject to a case-by-case review, which may add	funds will be used in
	CPF Funds may only be used for eligible uses set forth in Treat following uses for which CPF Funds will be used. For each pre- alignment with the Guidance. Applications involving other the review process and may delay payment. Determining Eligible Use -Have you determined how you are going to use CPF grant fu- No-I require additional time	esumptively eligible use, we ask that you provide a short response explaining how the r an presumptively eligible uses will be subject to a case-by-case review, which may add inds? eturn when you can provide the details of how the CPF grants funds will be used. You	funds will be used in I time to the Application

Intended Use of Funds

In the application, Tribal governments must also provide information about the intended use of funds.

- Tribal governments must choose from the following options that describe intended uses of funds, which align with <u>presumptively eligible uses</u>:
 - Purchasing devices to be owned by the Applicant and utilized by or distributed to households, businesses, or other organizations to facilitate internet access
 - Purchasing digital connectivity technologies (e.g., public Wi-Fi) to be owned by the Applicant
 - Installation or enhancement of broadband infrastructure meeting minimum service standards described in the CPF Guidance
 - Projects to construct or improve buildings that are designed to jointly and directly enable work, education, and health monitoring
- Tribal governments may also select the option to propose using funds for a <u>non-presumptively eligible use</u>, which will be reviewed on a <u>case-by-case basis</u>.
- Applicants may also indicate that they need additional time to determine how to use CPF funds; please note that applications may not be completed until intended use is identified

More information can be found on the CPF website, including a checklist to assist with completing the application.



Intended Use of Funds

Det	ermining Eligible Use
-Hav	ve you determined how you are going to use CPF grant funds?
Yes	;
Pre	sumptively Eligible Uses
	Purchasing devices to be owned by the Applicant and utilized by or distributed to households, businesses, or other organizations to facilitate internet access.
	Purchasing digital connectivity technologies (e.g., public Wi-Fi) to be owned by the Applicant.
	Installation or enhancement of broadband infrastructure meeting minimum service standards.
	Projects to construct or improve buildings that are designed to jointly directly enable work, education, and health monitoring.
Nor	n-Presumptively Eligible Use
	If this option is selected, Treasury will review the proposed eligible use on a case-by-case basis and may request additional information – case-by-case reviews may add time to the Application review process. Please refer to Treasury's Guidance for information on eligible uses.
Sa	Next



Intended Use of Funds – Purchasing Devices

access.		
lease describe the device purchase and distribution plans, if known. Limit re ditional sentence explaining why.	esponse	es to 1-3 sentences. For any questions you answered "No", please provide one
las affordability been identified as a barrier to access to the internet?		-Will the devices be used to connect to broadband internet?
-None	*	None
Vill this address critical need(s) within the community?		-Will funds be used solely to purchase devices deemed eligible by the Guidance
		(e.g., laptops, tablets, and desktop personal computers)?
-None	*	None
Vill ownership of the equipment be maintained by the Applicant or a		-Will CPF grant funds be used to cover costs incurred after March 15, 2021, but
brecipient?		prior to execution of the Grant Agreement?
-None	*	None

Intended Use of Funds – Purchasing Digital Connectivity Technologies

y the Applicant. ses to 1-3 sentences.
-Will the devices be used to connect to broadband internet? -None -Will funds be used solely to purchase equipment deemed eligible by the Guidance
(e.g., equipment and devices necessary for public Wi-Fi infrastructure development)?
1



Intended Use of Funds – Broadband Infrastructure

If Broadband Infrastructure is the intended use of funds, applicants must answer the following questions:

- Please describe the use of funds, limiting responses to 1-3 sentences
- Will this project deliver speeds of 100/100 Mbps or higher? (yes/no)
 - If not, will this project reliably deliver speeds of 100/20 Mbps and be scalable to 100/100 Mbps? (yes/no)
 - If not, describe the conditions that make the above speed standards impracticable to implement in 1-3 sentences
- Will the applicant ensure the service provider implementing broadband infrastructure projects funded by CPF funds participates in low-income broadband subsidy programs? (yes/no)
- Will CPF funds be used to supplement another federal government broadband support program? (yes/no)
 - Please select which other federal government broadband program(s) that will also be funding this project
 - Please provide the grant identifier (i.e., award number, etc.) for these other funds
 - Please provide contact information for these grants
 - Please describe what is being funded by these existing federal grants in 1-3 sentences
- Will CPF funds be used to cover costs incurred after March 15, 2021, but prior to the execution of the grant agreement (yes/no)



Intended Use of Funds – Constructing or Improving Buildings

Pro	ojects to construct or improve buildings that are designed to jointly directly enable work, education, and health monitoring.
•Pleas	e describe the use of these funds. Limit responses to 1-3 sentences.
·How	will the program directly enable work? Limit responses to 1-3 sentences.
·How	will the program directly enable education? Limit responses to 1-3 sentences.
How	will the program directly enable health monitoring? Limit responses to 1-3 sentences.
•Will t Projec	the Capital Projects will provide services or activities that directly enable work, education, and health monitoring for at least five years from the completion of the ct?
Nor	ne
•Will C	CPF grant funds be used to cover costs incurred after March 15, 2021, but prior to execution of the Grant Agreement?

Intended Use of Funds – Non-Presumptively Eligible Use

Non-Presumptively Eligible Use	
If this option is selected, Treasury will review the proposed eligible use on a case-by-case basis and may request additional information – case-by-case reviews may add time to the Application review process. Please refer to Treasury's Guidance for information on eligible uses.	
Please describe the proposed use of CPF Funds	
•Will CPF grant funds be used to cover costs incurred after March 15, 2021, but prior to execution of the Grant Agreement?	
None	*
Save Information	Next

Applicant Outreach and Support

Treasury has partnered with NTIA's Tribal Connectivity & Nation to Nation Coordination to provide applicants with support.

Treasury staff are available to:

- Offer support to applicants on understanding the guidance and addressing any application questions
- **Provide resources to assist with the application**, including the Tribal Government Application Checklist, which can be found at treasury.gov/CPF
- Partner with the call center to manage incoming questions via phone and the <u>CapitalProjectsFund@treasury.gov</u> inbox to resolve IT and programmatic questions

NTIA staff will support by:

- Host webinars and briefings providing information about the guidance, application process, and other topics for applicants and other organizations
- Periodically update and publish FAQ documents to provide applicants with clarity

SECTION 5: Reporting Requirements

Reporting Requirements

Recipients will be required to submit ongoing reports on their use of funds and activities.

Project and Expenditure Report		
Contents	Types of projects fundedFinancial data	
	 Project status and performance narrative 	
	Project type-specific data	
Required Submissions and Frequency	 Tribal governments submit annually 	

Treasury will provide additional guidance and instructions on the reporting requirements at a later date.

Section 6: Q&A



Thank you.



For More Information:

Please visit Treasury's Capital Projects Fund website <u>treasury.gov/CPF</u>

For Media Inquiries:

Please contact the U.S. Treasury Press Office at (202) 622-2960

For General Inquiries:

Please email <u>CapitalProjectsFund@treasury.gov</u>